

Application Date: ___/___/___

Individual / Joint Account

Full Name (as in Passport): _____

Mother's Name (as in Passport): _____

Nationality: _____

Passport No.:

National ID No.:

Residence Visa No. (non UAE):

Date of Birth: ___/___/___ Minor (under 21): Yes No

For UAE Nationals only
 UAE Family Book No.: Family No.:
 City No.: Passport File No.:

Gender: Male Female

Full Name of Guardian / Representative (if any): _____ Investor number (if any):

Company / Sole Proprietorship

Commercial Name as on Trade License: _____

Trade License No.: Commercial Registration No.:

Type of Company: _____

Full Name of the Authorized Signatory: _____

Full Name of the Owner / Authorized Person: _____

Companies and Institutions licensed outside the UAE must authenticate official documents by the official authorities and the UAE embassy in those countries.

Contact Details

Address: _____

P.O.Box/Postal Code: Zip:

City: _____ Country: _____

Mobile Phone No.: Fax No.:

Office Phone No.: Residence Phone No.:

Email:

Receive Cash Dividends (Select one option only)

Bank transfers are subject to fees and any foreign exchange rates which will be deducted from the cash dividend amount transferred as applicable, such fees may change from time to time without DFM or NASDAQ Dubai incurring any liability.

Bank Transfer (available for all investors)
 • Bank account details required of the shareholder only.
 • Attach Bank Account details certified by the bank, through an official statement or letter from the bank within the last 3 months only.
 • Bank Transfer Fees will be deducted from the dividend amount transferred. (Outside UAE: 150AED Individuals – 225AED Companies).

Account Holder Name/Investor: _____

Bank Account No. (AED) Bank Account No. (other currency)

IBAN: SWIFT ID:

Bank Name: _____ Branch Name: _____

City: _____ Country: _____

IVESTOR Card (available for individual investors & sole proprietorships only)
 • 50 AED IVESTOR Card Issuance Fees.
 • Cards will be delivered by courier.
 • The investor should obtain an IVESTOR card before the dividends are distributed.
 • Card issuance is Subject to IVESTOR Terms & Conditions.

Services (it is required to tick on the services below for activation)

Register for eStockPortfolio (online access to view your investment details) Email:

I would like to receive my 'Daily Trades Report' via (Please tick any one): Email SMS Fax (Your email will be your username)

I would like to receive my 'Annual Securities Statement' by (Please tick any one): Email Mail Fax

• Login at www.dfm.ae & register for eServices to view your statements online and submit forms.

Acknowledgment

I, the undersigned, hereby undertake, as an investor, Agent or Guardian, full legal and financial liability for the accuracy of completing the information based on the choice of cash dividend distribution mechanism I would like to receive any due dividend which are approved for distribution by the DFM and NASDAQ Dubai listed companies. I agree and I am in my full legal capacity, to release DFM and NASDAQ Dubai from any liability that may arise from any deficiency or error in providing any of the data or information set forth in the application. I therefore waive irreversibly my right to claim from DFM or NASDAQ Dubai any material, compensation or otherwise to meet any claims.

Entity Stamp Certifying the Signature

Authorized Signature

- Completed form should be submitted to your respective broker or sent to Client Services Affairs Department, CSD, Dubai Financial Market, Trading Floor, Dubai World Trade Center.
- Please attach a valid copy of your Passport/National ID (UAE Nationals/Residence)/Family book (UAE Nationals)/Residential Visa.
- In the case of a Representative or Guardian (other than Father), please attach a copy of the Power of Attorney or custody documents authenticated by a notary public. Power of Attorney issued from other countries must be authenticated by the official authorities and the UAE embassy in those countries.

FOR DFM USE ONLY

Investor Number



نموذج توقيع المستثمر Investor Signature Form

Investor Name: اسم المستثمر:
Investor Number(IN) : رقم المستثمر:

In case of representative / guardian,
please fill in the following information:

في حالة وجود (وكيل / وصي) يرجى
تعبئة البيانات التالية:

Representative: وكيل:

Guardian: وصي:

Name of Representative / Guardian:

إسم الوكيل / الوصي:

Investor Number (if any):

رقم المستثمر (إن وجد):

(توقيع الوكيل / الوصي)
Representative / Guardian Signature

(توقيع المستثمر)
Investor Signature

(2)	(1)
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Stamp: الختم

Note:

ملاحظات:

Signature must be authenticated by :

- a licensed brokerage firm at DFM, an accredited bank, or a listed company at DFM.
- an authorized (CSD officer) at the personal presence of the investor, or providing the original passport indicating the signature of the passport holder.

The above signature shall be authorized on all transactions of the investor at DFM.

The authorizing party shall be held liable for the signature authentication, therefore investor's identity or his representative must be confirmed prior to authentication.

In case of representative or guardian (other than father), please attach a true copy of the power of attorney or custody documents authenticated by a notary public.

- يجب أن يكون التوقيع مصدقاً حسب الأصول من قبل شركة وساطة مرخصة في سوق دبي المالي. بنك معتمد. أو شركة مدرجة في سوق دبي المالي

- موظف (دائرة التفاضل والتسوية والإيداع) وبحضور شخصي للمستثمر. أو إبراز الجواز الأصلي مبين فيه توقيع حامل الجواز

سيتم اعتماد التوقيع المبين أعلاه على كافة تعاملات المستثمر لدى سوق دبي المالي

تحمل الجهة التي تصدق على التوقيع المسؤولية الكاملة تجاه التصديق. إذ يجب التأكد من هوية المستثمر أو من ينوب عنه قبل تصديق التوقيع.

في حالة وجود وكيل أو وصي (غير الأب) يجب إرفاق نسخة طبق الأصل من الوكالة أمر الوصاية مصدقة من كاتب العدل.

* In case of representative or guardian (other than father), please attach copy of the power of attorney or custody documents authenticated by a public notary.

* في حالة وجود وكيل أو وصي (غير الأب)، يرجى إرفاق نسخة من الوكالة أو الوصاية مصدقة من الكاتب العدل.