## APPROPRIATENESS FORM

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<th>Date</th>
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### Client Information
- **Client Name**: 
- **Client ID**: 
- **Current job/ Profession**: 
- **Previous job/ Profession**: 

### Knowledge related to financial/ Trading?
- **Excellent knowledge**
- **Some knowledge**
- **No knowledge**

### Source of your knowledge
- **Education, Studies, Certifications**
- **Trading experience**
- **Work experience**
- **No knowledge**

### Purpose of investment in Securities
- **Short term**
- **Long term**
- **Speculation**
- **Yearly Revenue**
- **Capital Gain**

### Frequency of trades in the last 12 months
- **No trades**
- **1 to 10 trades**
- **More than 10 trades**

### Allocated amount for investment / Trading
- **AED 10,000 to 100,000**
- **AED 100,000 to 1,000,000**
- **AED 1,000,000 to 5,000,000**
- **More than 5,000,000**

### Education level
- **Doctoral level**
- **Masters level**
- **Bachelor’s Degree / Diploma**
- **Secondary School**
- **Corporate client**

### Client has previously traded in Securities?
- **Yes**
- **No**

### Is the client aware that trading in Securities is associated with high risk and might not be suitable to all investors?
- **Yes**
- **No**

### Signature:

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<th><strong>Whether Suitable</strong></th>
<th><strong>مناسبين</strong></th>
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<tbody>
<tr>
<td>Appropriate</td>
<td>مناسب</td>
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<tr>
<td>Non Appropriate</td>
<td>غير مناسب</td>
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**Client Name**

**Signature**

**Date**

| D | D | M | M | Y | Y | Y | Y |

**Only if None Appropriate**

**Do you still wish to proceed with trading in Securities even though it might not be appropriate to you?**

- Yes
- No

**I, the undersigned below, confirm that I have been informed that trading in financial markets is not appropriate for me, and despite that I confirm my request to trade in financial securities.**

**Client Name**

**Signature**

**Date**

| D | D | M | M | Y | Y | Y | Y |