Transfer of Securities Form

Date: [ ]

Transfer Type

- Judicial Transfer
- Inheritance Transfers
- Family Transfer (up to 2nd degree kinship)
- Merge Sole Proprietorship with Individual A/C or Vice Versa
- Founder To Founder Transfer
- Companies Transfer

Transferee Information

- Full Name of Transferee:
- Trading Member Number:
- CSD Account
- Trading Member Account

Listed Security Details

- Number (NIN): [DFM Investor]
- No. of Securities
- Listed Security Name

Transferee Signature

- Date
- Signature

Application Information

- Applicant Signature (if any)

For more than 10 securities, please complete another “Transfer of Securities” Form

Acknowledgment

1. I, the undersigned, hereby undertake, as an Investor, Agent or Guardian, full legal and financial liability for the accuracy of completing the information in favor of and in my full legal capacity, to release Dubai CSD from any liability that may arise from and deficiency or error in providing any of the data or information set forth in this application. I therefore waive irrevocably my right to claim from Dubai CSD any material, compensation or otherwise to meet any claims. I have read the Terms and Conditions which can be found at www.dubaicsd.ae website under the section Terms & Conditions which forms part of my application for an Investor Number with Dubai CSD and hereby agree to be bound by them. I agree that the Investor Number and accounts will be operated in accordance with the Terms and Conditions in force and as may be amended from time to time.

Notes:
- Completed from should be submitted to your respective trading member or to Investor Affairs Department, Dubai CSD, Dubai Financial Market, Trading Floor, Dubai World Trade Centre, Dubai.
- Documents required:
  - Valid copy of the Passport/National ID/UAE Nationals and Resident/Family Book-UAE Nationals/Residential Visa for U.A.E Residers
  - In case of a guarantor (other than father): a true copy of the guardianship order authenticated by the court or Notary Public.
  - In case of a representative: a valid copy of a duly authenticated Power of Attorney issued by the court or Notary Public.
  - Any document issued from other countries must be authenticated by the official authorities and the UAE embassy in those countries or the UAE Ministry of Foreign Affairs.

For Official Use Only

- Transaction No.
- Fees
- Closing Date
- No.
- Security Price

Dubaicsd.ae
P.O. Box 9700, Dubai UAE
Email: dubaicsd@dfm.ae
Phone: +971 4 305 5555
www.dubaicsd.ae
Nomorak توقيع المستثمر
Investor Signature Form

Date: DD / MM / YYYY

اسم المستثمر: Full Name of Investor:

رقم المستثمر الخاص بسوق دبي المالي: DFM Investor Number (NIN): 

Signature Authentication at Dubai CSD

In case of Guardian/Representative/Authorized Signatory please fill the following details:

Guardian/Representative/Authorized Signatory's Name:

 полноыое имя вдшы опись

Investor Number (if any)

ملاحظات:

• Completed form should be submitted to your respective Trading Member or to Investor Affairs Department, Dubai CSD, Dubai Financial Market, Trading Floor, Dubai World Trade Centre, and Dubai.

• Signature must be authenticated by: Dubai CSD staff at Investor Affairs Desk, Licensed Trading Member at Dubai Financial Market, An accredited Bank in UAE licensed by UAE Central Bank, Notary Public and UAE embassy in foreign countries or by the UAE Ministry of Foreign Affairs.

• The authorized signature shall be authenticated on all transactions of the investor at Dubai CSD.

• The authorizing party shall be held liable for the signature authentication, therefore investor's identity or his representative must be confirmed prior authentication.

• Guardians signature will not be accepted once the minor turns 21 years old.

• Documents required:
  - Valid copy of the passport (Emirates National ID, UAE National/Residents)
  - In case of a Guardian (other than father): true copy of the guardianship order authenticated by the court or Notary Public.
  - In case of a Representative: a valid copy of a duly authenticated Power of Attorney issued by the court or Notary Public.

• Any document issued from other countries must be authenticated by the official authorities and the UAE embassy in those countries or the UAE Ministry of Foreign Affairs.

• Any document issued from other countries must be authenticated by the official authorities and the UAE embassy in those countries or the UAE Ministry of Foreign Affairs.

• Any document issued from other countries must be authenticated by the official authorities and the UAE embassy in those countries or the UAE Ministry of Foreign Affairs.