FATCA SELF-DECLARATION FORM - INDIVIDUALS

For the purposes of the U.S. Foreign Account Tax Compliance Act (FATCA) and on the instructions of the Central Bank of UAE, all individuals opening brokerage accounts must certify as to whether they are U.S. or non-U.S. persons.

Please note that as part of our account onboarding procedures, we will review other documentation provided by you or documentation which is publicly available and may seek further information from you on the FATCA classification you have selected below.

Please select one of the following:

- I am not a U.S. citizen or a U.S. tax resident
- I am a U.S. citizen or a U.S. tax resident and my U.S. taxpayer identification number (TIN) is

I hereby certify that the information provided above is true and accurate. I confirm that under no circumstances shall the Broker, its employees or its contractors be liable for any direct, indirect, incidental, special, punitive or consequential damages that may result in any way from their reliance on the information I have provided. I confirm that I have provided this FATCA self-certification willingly, without advice or help from Emirates NBD Securities LLC. I understand that providing false information, withholding relevant information or responding in a misleading way may result in the rejection of my application or other appropriate action taken against me. I understand that Emirates NBD Securities LLC may be required to make disclosures in relation to the information contained herein to appropriate government authorities and/or other regulatory authorities locally/internationally, and vide this document. I irrevocably permit Emirates NBD Securities LLC to make such disclosures to any such authorities without obtaining further written or oral permission from me. This document shall form an integral part of and always be read in conjunction with the account application form and its underlying terms and conditions.

Applicant’s Signature

FOR OFFICE USE ONLY

Date application received
Signature verified by
Authorised by
Back office
Updated by
Authorised by